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PATENT  
Docket No. 509622000700

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on May 20, 2002.

*M. Ayim*  
Mildred I. Ayim

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Terry M. TURPIN et al.

Serial No.: 10/051,229

Filing Date: January 22, 2002

For: OPTICAL CDMA COMMUNICATIONS  
SYSTEM USING OTDC DEVICE

Examiner: Not yet assigned

Group Art Unit: 2633

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**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 509622000700.

Dated: May 20, 2002

Respectfully submitted,

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Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 509622000700

Application Number: 10/051,229

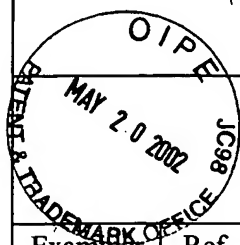
Applicant

Terry M. TURPIN et al.

Filing Date: January 22, 2002

Group Art Unit: 2633

Mailing Date: May 22, 2002



## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	09/11/2001	6,288,810	Grasso et al.			
	2.	09/11/2001	6,289,148	Lin et al.			
	3.	09/18/2001	6,292,299	Liou			
	4.	10/30/2001	6,310,994	Jones et al.			
	5.	09/27/2001	2001/0024543 A1	Aina et al.			
	6.	10/18/2001	2001/0030786 A1	Takahashi et al.			
	7.	11/08/2001	2001/0038734 A1	Kim et al.			

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## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.